



FACTS

What does Sprrott do with your personal information?

WHY?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

WHAT?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number
- Employment information
- Contact information
- Account balances
- Transaction history
- Date of birth
- Assets
- Investment experience

When you are no longer our customer, we continue to share your information as described in this notice.

HOW?

All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information, the reasons Sprrott Asset Management USA, Inc. and Sprrott Global Resource Investments Ltd. (collectively “Sprrott”) choose to share, and whether you can limit this sharing.

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION	DOES SPROTT SHARE?	CAN YOU LIMIT THIS SHARING?
For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates’ everyday business purposes – information about your creditworthiness	Yes	No
For nonaffiliates to market to you	No	We don’t share

QUESTIONS?

Call 855.943.8099 or visit www.sprrottusa.com/privacy-policy/. If we serve you through an investment professional, please contact them directly. Specific Internet addresses, mailing addresses, and telephone numbers are listed on your statements and other correspondence.

WHO WE ARE	
Who is providing this notice?	Sprott Asset Management USA, Inc. and Sprott Global Resource Investments Ltd.
WHAT WE DO	
How does Sprott protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. See our privacy policy at www.sprottusa.com/privacy-policy/ .
How does Sprott collect my personal information?	We collect your personal information, for example, when we: <ul style="list-style-type: none"> • Open your account(s) • Perform transactions • Maintain and/or service your account(s) • Provide advice about investments
Why can't I limit all sharing?	Federal law gives you the right to limit only: <ul style="list-style-type: none"> • Sharing for affiliates' everyday business purposes—information about your creditworthiness • Affiliates from using certain information to market to you • Sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Unless all account holders agree, we cannot limit sharing.
DEFINITIONS	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. Our affiliates include Sprott Inc. and its subsidiaries.
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. Sprott does not share with nonaffiliates so they can market to you.
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. Sprott does not engage in joint marketing.
	