



# Sprott Junior Copper Miners ETF

## Semi-Annual Index Rebalance

As of June 19, 2023

888.622.1813 | ENERGYTRANSITION@SPROTT.COM

**Sprott Junior Copper Miners ETF (Nasdaq: COPJ)** seeks to provide investment results that, before fees and expenses, correspond generally to the total return performance of the Nasdaq Sprott Junior Copper Miners™ Index (NSCOPJ™),<sup>1</sup> which is designed to track the performance of mid-, small- and micro-cap companies in copper mining-related businesses. The NSCOPJ™ Index is rebalanced semi-annually.

**There were five additions and three removals following the June 19, 2023, rebalancing.** All additions and deletions were primarily a result of appreciation/depreciation above/below the size and/or liquidity constraints. Of note for increased weights, Atalaya Mining Plc increased from 2.42% to 4.08% and Solaris Resources Inc. increased from 3.02% to 4.48%. Of note for decreased weights, China Gold International Resources Corp. Ltd. decreased from 6.95% to 4.56% and Ero Copper Corp. decreased from 6.99% to 5.28%.

### Index Additions

COMPANY	TICKER	WEIGHT
GreenX Metals Limited	GRX	1.59%
Faraday Copper Corp.	FDY	1.20%
Caravel Minerals Limited	CVV	0.78%
Hillgrove Resources Limited	HGO	0.58%
Xanadu Mines Ltd	XAM	0.45%

As of June 19, 2023, start of day.

### Index Removals

COMPANY	TICKER	WEIGHT
Sociedad Punta del Cobre S.A.	PUCOBRE	2.28%
Wanguo International Mining Group Limited	3939	0.84%
Cyprium Metals Limited	CYM	0.56%

As of June 16, 2023.

### Index Country Breakdown

Canada	58.41%
Australia	24.10%
United Kingdom	5.12%
Cyprus	4.08%
India	3.38%
Sweden	1.93%
Hong Kong	1.87%
United States	1.11%

As of June 19, 2023, start of day.

### ETF Details

(as of 6/20/2023)

Ticker	COPJ
Underlying Index	NSCOPJ™
Listing Exchange	Nasdaq®
CUSIP	85208P501
ISIN	US85208P5017
Fund Inception	February 1, 2023
Fund AUM	\$2.7 million

### Fees and Expenses

(as of the most recent prospectus<sup>2</sup>)

Management Fee	0.75%
Other Expenses	0.00%
<b>Total Annual Fund Operating Expenses</b>	<b>0.75%</b>

### Index Characteristics

(as of 6/19/2023)

Number of Companies	40
Market Cap (millions)	\$25,424
Weighted Avg. Company Market Cap (millions)	\$1,073
Market Cap Breakdown	
Large (>\$10B)	0.00%
Medium (\$2 – \$10B)	14.71%
Small (<\$2B)	85.29%
Material Weightings <sup>3</sup>	
Copper Equities	100.00%

<sup>1</sup> The Nasdaq Sprott Junior Copper Miners™ Index (NSCOPJ™) was co-developed by Nasdaq® (the "Index Provider") and Sprott Asset Management LP (the "Sponsor"). The Index Provider and Sponsor co-developed the methodology for determining the securities to be included in the Index and the Index Provider is responsible for the ongoing maintenance of the Index. The Sponsor will provide certain services in connection with the Index including contributing inputs in connection with the eligibility and process to determine the initial selection and ongoing composition of the Index constituents.

<sup>2</sup> Reflects Total Annual Operating Expenses as outlined in the most recent prospectus. For the services the Adviser (Sprott Asset Management USA, Inc.) provides to the Fund, the Adviser is entitled to receive an annual advisory fee from the Fund calculated daily and paid monthly at an annual rate of 0.75% of net assets.

<sup>3</sup> Reflects equities classified by the Sponsor.



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### Index Constituents

COMPANY	TICKER	WEIGHT	COMPANY	TICKER	WEIGHT
Ero Copper Corp.	ERO	5.28%	Amerigo Resources Ltd.	ARG	1.56%
Filo Mining Corp.	FIL	5.10%	Aeris Resources Ltd	AIS	1.51%
Hudbay Minerals Inc.	HBM	5.08%	Northern Dynasty Minerals Ltd.	NAK	1.38%
Ivanhoe Electric Inc.	IE	4.98%	Faraday Copper Corp.	FDY	1.20%
Capstone Copper Corp.	CS	4.96%	Arizona Sonoran Copper Company Inc.	ASCU	1.11%
Sandfire Resources Limited	SFR	4.86%	AIC Mines Limited	A1M	1.09%
Taseko Mines Limited	TGB	4.76%	Entrée Resources Ltd.	ETG	1.01%
SolGold plc	SOLG	4.74%	Rex Minerals Limited	RXM	1.00%
MMG Limited	1208	4.65%	Hot Chili Limited	HCH	0.90%
China Gold International Resources Corp. Ltd.	2099	4.56%	Trilogy Metals Inc.	TMQ	0.89%
Central Asia Metals PLC	CAML	4.56%	Caravel Minerals Limited	CVV	0.78%
Solaris Resources Inc.	SLS	4.48%	29Metals Limited	29M	0.78%
Foran Mining Corporation	FOM	4.24%	Encounter Resources Limited	ENR	0.62%
NGEx Minerals Ltd.	NGEX	4.17%	Hillgrove Resources Limited	HGO	0.58%
Atalaya Mining Plc	ATYM	4.08%	Arc Minerals Limited	ARCM	0.56%
Hindustan Copper Limited	HCP	3.38%	Xanadu Mines Ltd	XAM	0.45%
Western Copper and Gold Corporation	WRN	2.46%	PolyMet Mining Corp.	PLM	0.34%
Los Andes Copper Ltd.	LA	1.95%	Austral Resources Australia Limited	AR1	0.28%
Copperstone Resources AB	COPPB	1.93%	New World Resources Limited	NWC	0.27%
Jinchuan Group International Resources Co. Ltd.	2362	1.87%			
GreenX Metals Limited	GRX	1.59%			

Source: Nasdaq as of 6/19/2023, start of day; subject to change.

**About Sprott** – Sprott is a global leader in precious metal and energy transition investments. We are specialists. Our in-depth knowledge, experience and relationships separate us from the generalists. Our investment strategies include Exchange Listed Products, Managed Equities and Private Strategies. Sprott has offices in Toronto, New York and Connecticut and the company's common shares are listed on the New York Stock Exchange and the Toronto Stock Exchange under the symbol "SII". For more information, please visit [www.sprott.com](http://www.sprott.com).

#### IMPORTANT DISCLOSURES & DEFINITIONS

**An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a Sprott Junior Copper Miners ETF Statutory Prospectus, which contains this and other information, visit <https://sprottets.com/copj/prospectus>, contact your financial professional or call 1.888.622.1813. Read the Prospectus carefully before investing.**

Investors in the Fund should be willing to accept a high degree of volatility in the price of the Fund's shares and the possibility of significant losses. An investment in the Fund involves a substantial degree of risk. The Fund is not suitable for all investors. The Fund is considered non-diversified and can invest a greater portion of assets in securities of individual issuers than a diversified fund. As a result, changes in the market value of a single investment could cause greater fluctuations in share price than would occur in a diversified fund.

**Shares are not individually redeemable. Investors buy and sell shares of the Sprott Junior Copper Miners ETF on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 10,000 shares.**

Funds that emphasize investments in small/mid-cap companies will generally experience greater price volatility. Diversification does not eliminate the risk of investment losses. ETFs are considered to have continuous liquidity because they allow an individual to trade throughout the day. A higher portfolio turnover rate may indicate higher transaction costs and may result in higher taxes when Fund shares are held in a taxable account. These costs, which are not reflected in annual Fund operating expenses, affect the Fund's performance.

The Sprott Junior Copper Miners ETF seeks to provide investment results that, before fees and expenses, generally correspond to the total return performance of the Nasdaq Sprott Junior Copper Miners™ Index (NSCOPJ™).

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Sprott Asset Management USA, Inc. is the Investment Adviser to the Sprott Junior Copper Miners ETF. Sprott Asset Management LP is the Sponsor of the Fund. ALPS Distributors, Inc. is the Distributor for the Sprott Junior Copper Miners ETF and is a registered broker-dealer and FINRA Member.